



EXPRESS MAIL NO.: EV 452 773 196 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: . Application of: Palese *et al.*

. Patent of:

. Application No.: 09/724,419

Group Art Unit: 1807

. Patent No.:

. Filed: November 28, 2000

Examiner: Leon B. Lankford, Jr.

. Issued:

For: NOVEL METHODS AND INTERFERON
DEFICIENT SUBSTRATES FOR THE
PROPAGATION OF VIRUSES

Attorney Docket No.: 6923-102

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[37 CFR §§ 1.9(f) and 1.27(d)] - Nonprofit Organization

United States Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of organization: Mount Sinai School of Medicine of New York University

Address of organization One Gustave Levy Place - 99th Street and Madison Avenue
New York, New York 10029-6574

Type of organization

- ☒ University or other institution of higher education
- ☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
- ☐ Nonprofit scientific or educational under statute of state of the United States of America

(Name of state ____)

(Citation of statute_)

☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America.

☐ Would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America

(Name of state ____)

(Citation of statute_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.27(a)(3) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled NOVEL METHODS AND INTERFERON

DEFICIENT SUBSTRATES FOR THE PROPAGATION OF VIRUSES by inventor(s) Peter Palese, Adolfo Garcia-Sastre, and Robert O'Neill described in

- . the specification filed herewith
- . application no. 09/724,419 filed November 28, 2000
- . patent no. issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above and/or there is an obligation under contract or law by the inventor(s) to convey rights to the nonprofit organization identified above with regard to the invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR § 1.27(a)(3) or by any concern which would not qualify as a small business concern under 37 CFR § 1.27(a)(2) or a nonprofit organization under 37 CFR § 1.27(a)(3).

FULL NAME Green Hills Biotechnology
ADDRESS Gersthoferstr. 29-31, A-1180 Wien, Austria
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR § 1.27(g)(2)]

I acknowledge that (1) any attempt to fraudulently (a) establish status as a small entity or (b) pay fees as a small entity or (2) improperly and with intent to deceive (a) establishing status as a small entity or (b) paying fees as a small entity shall be considered as a fraud practiced or attempted on the Patent and Trademark Office. [37 C.F.R. § 1.27(h)(1) and (2)]

Send correspondence to: JONES DAY
222 East 41st Street
New York, NY 10017

Direct Telephone calls to:
JONES DAY
(212) 326-3939

Name of person signing _____
Title of person other than owner _____
Address of person signing _____

Signature W. Patrick McGrath
Date: 8/24/04 **W. Patrick McGrath, Ph.D.**
Executive Director
Office of Industrial Liaison
(37 CFR § 1.27)